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NITED STATES PATENT AND TRADEMARK OFFICE NOV 20 2002

In re Application of:

Whitman et al.

Serial No.: 09/542,783

Filed: April 4, 2000

For: SPIN COATING FOR MAXIMUM FILL CHARACTERISTIC YIELDING A PLANARIZED THIN FILM SURFACE

Confirmation No.: 6870

Examiner: B. Kebede

Group Art Unit: 2823

Attorney Docket No.: 4294US (98-1208)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 12, 2002 Date

Deidra Pfeil

Name (Type/Print)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Box NON-FEE Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. A copy of the listed document is enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>
US - 5,405,813	04/11/1995	Rodrigues

Applicants offer to supply any explanation or discussion of the document which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the final Office Action under 37 C.F.R. § 1.113, but before payment of the issue fee.

I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement. Pursuant to 37 C.F.R. § 1.97(d)(ii), applicant hereby requests

consideration of the accompanying Supplemental Information Disclosure Statement. The fee pursuant to 37 C.F.R. §1.17(p) for consideration of this Supplemental Information Disclosure Statement is enclosed.

Respectfully submitted,

Brick G. Power

Registration No. 38,581

Attorney for Applicants

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Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: November 12, 2002

BGP/jml

Enclosures: Form PTO/SB/08

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Group Art Unit Examiner Name B. Kebede	FORM	Jerr.	7.37	John Whitman	3
Examiner Name	(to be used for all correspondence after in	nitial filing)		2823	
ENCLOSURES (check all that apply) Postcard receipt acknowledgment (attached to the front of this transmittal) Information Disclosure Statement, PTO/SB/08A (08-00); copy of cited references Duplicate copy of this transmittal sheet in the event that additional fling fees are required under 37 C.F.R. § 1.16 Preliminary Amendment Response to Restriction Requirement/Election of Species Requirement dated Amendment in response to office action dated Petition dated Petition dated Petition dated Amendment under 37 C.F.R. § 1.16 in response to final office action dated Amendment under 37 C.F.R. § 1.16 in response to final office action dated Amendment under 37 C.F.R. § 1.16 in response to final office action dated Requirement dated Amendment under 37 C.F.R. § 1.16 in response to final office action dated Remarks Petition Other Enclosure(s) (please identity below): Certified Copy of Priority Document(s) Assignment Papers (for an Application) Remarks The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. § 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. Signature Registration No. 38,581 Registration No. 38,581 CERTIFICATE OF MAILING CERTIFICATE OF MAILING			Examiner Name	B. Kebede	·
Postcard receipt acknowledgment (attached to the front of this transmittal)			Attorney Docket Number	4294US (98-1208)	
Postcard receipt acknowledgment (attached to the front of this transmittal)		ENCL	OSURES (check all that apply)		
Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16 Preliminary Amendment	(attached to the front of this	Inform	ation Disclosure Statement, SB/08A (08-00); Copy of	Terminal Disclaimer	
Preliminary Amendment	Duplicate copy of this transmittal sheet in the event that additional filing fees are required under	Supple Staten	emental Information Disclosure nent; PTO/SB/08A (08-00); and	Terminal Disclaimer	
Requirement/Election of Species Requirement dated Amendment in response to office action dated Amendment under 37 C.F.R. § 1.116 in response to final office action dated Additional claims fee - Check No. in the amount of \$ Letter to Chief Draftsman and copy of FIGS. with changes made in red Transmittal of Formal Drawings Remarks The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature November 12,2002 CERTIFICATE OF MAILING	_ · · · ·	Assoc	iate Power of Attorney	Terminal Disclaimer	
action dated Amendment under 37 C.F.R. § 1.116 in response to final office action dated Additional claims fee - Check No. in the amount of \$ Letter to Chief Draftsman and copy of FIGS. with changes made in red Transmittal of Formal Drawings Femarks The Commissioner is authorized to charge any additional fees required but no submitted with any document or request requiring fee payment under 37 C.F.R. 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date November 12, 2002 CERTIFICATE OF MAILING	Requirement/Election of Species Requirement dated	Check	No. in the amount of		·
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